



Funded by  
the European Union

بتمويل من  
الإتحاد الأوروبي



**Contracting authority: Italian Agency for Development  
Cooperation**

**Reinforcing the governance, leadership and human resources  
for health in Nyala – South Darfur State**

**T05-EUTF-HOA-SD-73-01**

**Guidelines  
for grant applicants (open call of proposals)**

**Reference: Grant/03/HealthPro/T05-EUTF-HOA-SD-73-01**

**Deadline for submission of concept notes and full applications**

**12<sup>th</sup> November 2022 at 17:00 GMT +2 (Khartoum, Sudan)**

**Notice**

This is an open call of proposals, where all documents are submitted together (concept note and full application). In the first instance, only the concept notes will be evaluated. Thereafter, for the lead applicants whose concept note will receive the minimum scores, the evaluation of the full application will be evaluated. After the evaluation of the full applications, an eligibility check will be performed on the basis of the supporting documents requested by the contracting authority and the signed 'declaration by the lead applicant' sent together with the full application.



## Table of contents

### 1. CONTENTS

1.	
1. Strengthening a Decentralized Health System for protracted displaced population in Nyala – South Darfur State.....	3
1.1. Background.....	3
1.2. Objectives of the programme and priority issues.....	4
1.3. Financial allocation provided by the contracting authority .....	5
2.1 Rules for this call for proposals .....	5
2.2 Eligibility criteria .....	5
2.2.1 Eligibility of applicants (i.e., lead applicant and co-applicant(s)) .....	6
2.2.2 Affiliated entities.....	7
2.2.3 Associates and contractors .....	9
2.2.4 Eligible actions: actions for which an application may be made.....	9
2.2.5 Eligibility of costs: costs that can be included .....	11
2.2.6 Ethics clauses and Code of Conduct .....	14
2.3 How to apply and the procedures to follow .....	15
2.3.1 Application forms.....	15
2.3.2 Where and how to send applications .....	17
2.3.3 Deadline for submission of applications .....	18
2.3.4 Further information about applications .....	18
2.4 Evaluation and selection of applications .....	19
2.5 Submission of supporting documents.....	24
2.6 Notification of the Contracting Authority's decision .....	24
2.6.1 Content of the decision .....	24
2.6.2 Indicative timetable .....	25
<b>1. CONDITIONS FOR IMPLEMENTATION AFTER THE CONTRACTING AUTHORITY'S DECISION TO AWARD A GRANT</b>	<b>25</b>
<b>2. LIST OF ANNEXES</b>	<b>27</b>



## 1. STRENGTHENING A DECENTRALIZED HEALTH SYSTEM FOR PROTRACTED DISPLACED POPULATION IN NYALA – SOUTH DARFUR STATE

### 1.1. BACKGROUND

After prolonged protests beginning in December 2018, ex-President Al-Bashir was removed in April 2019 and replaced, in August 2019, by a Sovereign Council in order to govern for a transitional period, followed by democratic election.

From 2020 up to 2021, efforts to advance the political transition and peace process in Sudan were done despite considerable challenges. Progress was made in getting international debt relief, reforming the economy and achieving macroeconomic stability, taking measures to implement the Juba Peace Agreement and catering for the needs of the most vulnerable people. Although a series of urgent and bold reforms were undertaken, the living conditions of Sudanese peoples have been affected by a major economic crisis. In fact, the economic hardship and the slow pace of the reforms resulted in growing frustration among the population leading to occasional public protests.

Throughout 2021, political tensions escalated between the civilian and military components of Sudan's transitional authorities, culminating in a military coup on 25<sup>th</sup> October, after an attempted failed coup on 21<sup>st</sup> September. The armed forces detained Prime Minister Hamdounk and a number of civilian officials and political leaders. Amongst other measures, the Commander of the Armed Forces, Lt. Gen. Burhan announced a state of emergency. Campaigns of civil disobedience and widespread protests rejected the military takeover and called for the establishment of a civilian-led democratic government.

In the meantime, humanitarian needs continue to grow as the economic crisis and food insecurity affect millions of people. About 14.3 million people – almost one in every three persons – across the country are estimated to need humanitarian assistance in 2022. This is about 0.8 million people more than 2021, 9.1 million of the 14.3 million people, will need emergency assistance for life-threatening needs related to critical physical and mental well-being. The economic crisis, including high inflation, resulted in elevated levels of food insecurity. At the same time, hundreds of thousands of displaced people in Darfur and other parts of the country remain in protracted displacement, requiring humanitarian assistance, including protection.

Darfur Region is one of the poorest areas in Sudan and Darfur States health indicators are among the worst in Sudan. The conflict has completely jeopardized the organization and delivery of basic health services. It is estimated that about 40% of the health facilities are not operational while the remaining 60% are unable to provide quality PHC services. The Region is also affected by health challenges common in all of Sudan: the system is underfunded and almost 80% of health expenditures are out-of-pocket, increasing poverty and family debt.

Over the past year, parts of the country – including Darfur and the Kordofan States – witnessed increased insecurity and locally violence episodes. In 2021, over 365,000 people have been displaced because of food insecurity that has also continued to increase in areas not traditionally targeted for humanitarian assistance. As a result of conflict and factional fighting in 2021, thousands of IDPs, returnees, and resident communities were displaced in Central Darfur, North Darfur, South Darfur, and West Darfur, Blue Nile, and South Kordofan states. Families are struggling to meet their basic needs.

According to health cluster partners, the current level of health personnel staffing is able to cater for 17 per cent of Sudan's 47.9 million of whom were already displaced as a result of the crisis in Darfur in 2003-2004 and in South Kordofan from 2011 and onwards.

The reconstruction and reactivation of all preventive and curative PHC services in Darfur region is a national priority. This could give rise to great opportunities for revitalizing the health sector and providing the needed resources. From another perspective the overdue restructuring process of key health institutions (in particular the NHIF and NMSF) might create a temporal vacuum and delay the operationalization of new strategies and approaches.

Sudan Federal Ministry of Health (FMoH) National Health policy 2017-2030 and Health Sector strategic plan 2017-2021, both entailing EU Humanitarian-Development-Peace Nexus approach, together with sector reforms, as the NHIF for the universal health coverage by 2020 give guidance to the EU to grant AICS through indirect management arrangements, a health intervention in Darfur aiming at strengthening the decentralized health system for sustainable and durable progress.

Therefore, this project aims, in line with the above principles, at improving State Ministry of Health (SMoH) and Locality Health Authorities' (LHA) governance and their ability to manage the health system according to the WHO 6 pillars or blocks and ensure universal PHC service accessibility.

The 36-months **Humanitarian Development Nexus: Strengthening a Decentralized Health System for protracted displaced population (HealthPro) in al Fasher and Nyala –North and South Darfur States - T05-EUTF-HOA-SD-73-01**, implemented by AICS was designed in 2019 and started from March the 1<sup>st</sup> 2020, aiming at improving SMoH and locality level governance and their ability to administer and manage the health system according to the WHO 6 pillars and ensure universal PHC service accessibility. Particularly it aims at providing an accessible high quality PHC services in the suburban IDP residential areas (ex-camps) of Nyala town, with an EU Humanitarian Development and Peace Nexus approach, shifting from emergency/humanitarian to development interventions.

## **1.2. OBJECTIVES OF THE PROGRAMME AND PRIORITY ISSUES**

The **global objective** of this call for proposals is to contribute to the achievement of universal health coverage in South Darfur

This global objective of the proposed Action reflects the SDG and IHP+ goal of the universal health coverage, enshrined also in the Sudan National Policy 2017-2030, the Health Sector/NHIF reform and the new Family Health policy for PHC services.

The **specific objective** of this call for proposals is:

The decentralised health system in South Darfur State is strengthened so that State Ministry of Health and Locality Health Authorities are able to deliver Primary Health Care to protracted IDP and host communities.

The specific objective of the intervention is fully aligned to the Sudan National Policy for health system administrative decentralisation and to PHC national programs, moreover it aims at strengthening MoH governance addressing better ownership, efficiency and transparency.

The action complies also with the principle of harmonisation of aid for more effectiveness, looking for strong coordination among donors and consensus on triple Nexus approach and “collective outcomes” of the new aid architecture in Sudan: collective outcome n. 2 Basic Social Services (health, nutrition, education, wash and reproductive health).

The present peace building process provides a great opportunity for moving from a mere humanitarian to development programs, complying with EU Humanitarian-Development-Peace Nexus approach and EU Commitment to have Sudan as a triple Nexus model.

### **Beneficiaries**

The decentralised health system provides a full PHC package of basic health and nutrition services utilized by host communities and IDPs. The proposal should take into account the sustainability of the intervention and the ownership of the health services.

The total number of indirect beneficiaries is estimated 3,952,813, of which 933,320 are IDPs and 49,777 are refugees.

The priority of this call for proposals is:

Priority Area 1: *The Governance of the decentralised health system, particularly of the Locality Health Authorities is strengthened in line with the WHO District Health System definition.*

### Results:

- ✓ Rehabilitated and furnished 1 LHA in South Darfur
- ✓ Organized LHA coordination meetings in order to reinforce monitoring mechanisms
- ✓ Organized at least 3 coordination meetings at State level
- ✓ Developed 1 monitoring plan at SMoH level
- ✓ Constructed and furnished 1 AHS and 1 CPD in South Darfur
- ✓ Rehabilitated and refurnished 1 drug store located in the Teaching hospital in Nyala
- ✓ Developed and approved a distribution plan of drugs in South Darfur
- ✓ Updated and spread protocols of drugs management
- ✓ Trained at least 30 staff (between LHA and MSF) about rational use of drugs

#### Cross cutting issues:

Inclusion of groups at risk of vulnerability and marginalization, disability, children and youth.

### **1.3. FINANCIAL ALLOCATION PROVIDED BY THE CONTRACTING AUTHORITY**

The overall indicative amount made available under this call for proposals is EUR 970.000,00 The contracting authority reserves the right not to award all available funds.

Size of grants

**For priority number 1**, any grant requested under this call for proposals must not exceed the below maximum and minimum amount of:

- maximum amount: EUR 970.000,00
- Minimum amount: EUR 700.000,00

Any grant requested under this call for proposals must not exceed the below maximum percentage of total eligible costs of the action:

- Maximum percentage: 100% of the total eligible costs of the action (see also Section 2.1.4.).

The grant may cover the entire eligible costs of the action if this is deemed essential to carry it out. If that is the case, the lead applicant must justify full financing in Section 2.1 of Part B of the grant application form. The validity of the justification provided will be examined during the evaluation procedure. The absence of any justification may lead to the rejection of the application.

## **2.1 RULES FOR THIS CALL FOR PROPOSALS**

These guidelines set out the rules for the submission, selection and implementation of the actions financed under this call, in conformity with the practical guide, which is applicable to the present call (available on the internet at this address <https://wikis.ec.europa.eu/display/ExactExternalWiki> ).<sup>1</sup>

## **2.2 ELIGIBILITY CRITERIA**

There are three sets of eligibility criteria, relating to:

---

<sup>1</sup> Note that a lead applicant (i.e. a coordinator) whose pillars have been positively assessed by the European Commission and who is awarded a grant will not sign the standard grant contract published with these guidelines but a contribution agreement based on the contribution agreement template. All references in these guidelines and other documents related to this call to the standard grant contract shall in this case be understood as referring to the relevant provisions of the contribution agreement template.

(1) the actors (2.1.1.):

- the ‘**lead applicant**’, i.e., the entity submitting the application form;
- if any, its **co-applicant(s)** (where it is not specified otherwise the lead applicant and its co-applicant(s) are hereinafter jointly referred as ‘applicant(s)’);
- and, if any, **affiliated entity(ies)** to the lead applicant and/or to a co-applicant(s);

(2) the actions (2.1.3.):

- actions for which a grant may be awarded;

(3) the costs (2.1.4.):

- types of cost that may be taken into account in setting the amount of the grant.

### **2.2.1 Eligibility of applicants (i.e., lead applicant and co-applicant(s))**

#### **Lead applicant**

(1) In order to be eligible for a grant, the lead applicant must:

- be a legal person **and**
- be non-profit-making **and**
- be a specific type of organisation such as: non-governmental organisation, international (inter-governmental) organisation as defined by Article 156 of the EU Financial Regulation<sup>2</sup> **and** national regulation.
- be established in<sup>3</sup> a Member State of the European Union or in countries eligible under EDF-ENI- and DCI-funded programmes (see PRAG Annex a2a for the list of eligible countries) **and**
- be directly responsible for the preparation and management of the action with the co-applicant(s) and affiliated entity(ies), not acting as an intermediary **and**
- be registered and active in the PADOR (Potential Applicant Data On-Line Registration; off-line form Annex F), EU on-line database of legal entities **and**
- have managed at least three programmes in similar contexts **and**
- have managed at least two programmes in similar budget - between 500.000,00 and 1.000.000,00 **and**
- be directly responsible for the preparation and management of the action with the co applicant(s) and affiliated entity(ies), not acting as an intermediary **and**
- be registered and/or authorized to implement activities in Sudan in particular in Darfur **and**
- be operative in Darfur for the past 1 years in health sector **and**

---

<sup>2</sup> International organisations are international public-sector organisations set up by intergovernmental agreements as well as specialised agencies set up by them; the International Committee of the Red Cross (ICRC) and the International Federation of National Red Cross and Red Crescent Societies are also recognised as international organisations.

<sup>3</sup> To be determined on the basis of the organisation’s statutes, which should demonstrate that it has been established by an instrument governed by the national law of the country concerned and that its head office is located in an eligible country. In this respect, any legal entity whose statutes have been established in another country cannot be considered an eligible local organisation, even if the statutes are registered locally or a ‘Memorandum of Understanding’ has been concluded.

- have managed programmes funded by EU (at least three contracts in any countries in similar context)

(2) Potential applicants may not participate in calls for proposals or be awarded grants if they are in any of the situations listed in Section 2.6.10.1 of the practical guide;

Lead applicants, co-applicants, affiliated entities and, in case of legal entities, persons who have powers of representation, decision-making or control over the lead applicant, the co-applicants and the affiliated entities are informed that, should they be in one of the situations of early detection or exclusion according to Section 2.6.10.1 of the practical guide, personal details (name, given name if natural person, address, legal form and name and given name of the persons with powers of representation, decision-making or control, if legal person) may be registered in the early detection and exclusion system, and communicated to the persons and entities concerned in relation to the award or the execution of a grant contract. In this respect, provisionally selected lead applicants, co-applicants and affiliated entities or those placed in the reserve list are obliged to declare that they are not in one of the exclusion situations through a signed declaration on honour (Annex H). For grants of EUR 15 000 or less, no declaration on honour is required. See Section 2.4.

In Part B Section 8 of the grant application form ('declaration(s) by the lead applicant'), the lead applicant must declare that the lead applicant himself, the co-applicant(s) and affiliated entity(ies) are not in any of these situations.

The lead applicant could act with a co-applicant that could be one or more local and or international entities/organizations.

If awarded the grant contract, the lead applicant will become the beneficiary identified as the coordinator in Annex G (contract and special conditions). The coordinator is the sole interlocutor of the contracting authority. It represents and acts on behalf of any other co-beneficiary (if any) and coordinate the design and implementation of the action.

### **Co-applicant(s)**

Co-applicants participate in designing and implementing the action, and the costs they incur are eligible in the same way as those incurred by the lead applicant.

Co-applicants must satisfy the eligibility criteria as applicable to the lead applicant him/herself (except for the PADOR registration, the experience in two programmes in similar budget - between 50.000,00 and 100.000,00, which are mandatory only for the lead applicant).

Co-applicants must sign the mandate in Part B Section 4 of the grant application form.

If awarded the grant contract, the co-applicant(s) (if any) will become beneficiary(ies) in the action (together with the coordinator).

- (3) In addition, please note that contracts cannot be awarded to or signed with applicants included in the lists of EU restrictive measures (see Section 2.4. of the PRAG).

### **2.2.2 Affiliated entities**

The lead applicant and its co-applicant(s) may act with affiliated entity(ies). **Only the following entities may be considered as affiliated entities to the lead applicant and/or to co-applicant(s):**

Only entities having a structural link with the applicants (i.e., the lead applicant or a co-applicant), in particular a legal or capital link.

This structural link encompasses mainly two notions:

- (i) Control, as defined in Directive 2013/34/EU on the annual financial statements, consolidated financial statements and related reports of certain types of undertakings:

Entities affiliated to an applicant may hence be:

- Entities directly or indirectly controlled by the applicant (daughter companies or first-tier subsidiaries). They may also be entities controlled by an entity controlled by the applicant (granddaughter companies or second-tier subsidiaries) and the same applies to further tiers of control;
  - Entities directly or indirectly controlling the applicant (parent companies). Likewise, they may be entities controlling an entity controlling the applicant;
  - Entities under the same direct or indirect control as the applicant (sister companies).
- (ii) Membership, i.e. the applicant is legally defined as a e.g. network, federation, association in which the proposed affiliated entities also participate or the applicant participates in the same entity (e.g. network, federation, association, etc.) as the proposed affiliated entities.

The structural link shall, as a general rule, be neither limited to the action nor established for the sole purpose of its implementation. This means that the link would exist independently of the award of the grant; it should exist before the call for proposals and remain valid after the end of the action.

By way of exception, an entity may be considered as affiliated to an applicant even if it has a structural link specifically established for the sole purpose of the implementation of the action in the case of so-called ‘sole applicants’ or ‘sole beneficiaries’. A sole applicant or a sole beneficiary is a legal entity formed by several entities (a group of entities) which together comply with the criteria for being awarded the grant. For example, an association is formed by its members.

#### What is not an affiliated entity?

The following are not considered entities affiliated to an applicant:

- Entities that have entered into a (procurement) contract or subcontract with an applicant, act as concessionaires or delegates for public services for an applicant,
- Entities that receive financial support from the applicant,
- Entities that cooperate on a regular basis with an applicant on the basis of a memorandum of understanding or share some assets,
- Entities that have signed a consortium agreement under the grant contract (unless this consortium agreement leads to the creation of a ‘sole applicant’ as described above).

#### How to verify the existence of the required link with an applicant?

The affiliation resulting from control may in particular be proved on the basis of the consolidated accounts of the group of entities the applicant and its proposed affiliates belong to.

The affiliation resulting from membership may in particular be proved on the basis of the statutes or equivalent act establishing the entity (network, federation, association) which the applicant constitutes or in which the applicant participates.

If the applicants are awarded a grant contract, their affiliated entity(ies) will not become beneficiary(ies) of the action and signatory(ies) of the grant contract. However, they will participate in the design and in the implementation of the action and the costs they incur (including those incurred for implementation contracts and financial support to third parties and subcontractors) may be accepted as eligible costs, provided they comply with all the relevant rules already applicable to the beneficiary(ies) under the grant contract.

Affiliated entity(ies) must satisfy the same eligibility criteria as the lead applicant and the co-applicant(s). They must sign the affiliated entity(ies) statement in Part B Section 5 of the grant application form.



### 2.2.3 Associates and contractors

The following entities are not applicants nor affiliated entities and do not have to sign the ‘mandate for co-applicant(s)’ or ‘affiliated entities’ statement:

- Associates

Other organisations or individuals may be involved in the action. Such associates play a real role in the action but may not receive funding from the grant, with the exception of per diem or travel costs. Associates do not have to meet the eligibility criteria referred to in Section 2.1.1. Associates must be mentioned in Part B Section 6 — ‘Associates participating in the action’ — of the grant application form.

- Contractors

The beneficiaries and their affiliated entities are permitted to award contracts. Associates or affiliated entity(ies) cannot be also contractors in the project. Contractors are subject to the procurement rules set out in Annex IV to the standard grant contract.

### 2.2.4 Eligible actions: actions for which an application may be made

Definition:

An action is composed of a set of activities.

#### Duration

The initial planned duration of an action may not exceed 8 (eight) months and may last maximum until 31<sup>st</sup> July 2023.

#### Specific theme to which the actions must relate to:

- Governance and leadership of SMoH and LHA

#### Location

Actions must take place in the following country: Sudan, specifically in the State of South Darfur

1. Nyala city

#### Types of action

All proposed actions and initiatives supported should aim at contributing to the achievement of universal health coverage in South Darfur.

Actions should be operations whose impact can be measured on the medium and long-term according to the use of SMART, beneficiary and results-oriented indicators and indicating a coherent set of activities with clearly defined operational objectives, target groups, planned tangible outcomes, and be executed within a limited timeframe. They should be designed as:

- sustainable, scalable and replicable;
- based on an inclusive and participatory approach and on the “leave no one behind” principle and the “do not harm” policy;
- in response to the specific needs of the target groups identified by the applicant;
- including the adoption of efficient and effective Monitoring and Evaluation and Risk Management mechanisms;
- taking in high consideration potential risks and existing challenges within the target communities;

Applicants in their proposals must incorporate a series of complimentary activities that target only one priority area as stipulated on section 1.2.

The following types of action are ineligible:

- actions concerned only or mainly with individual sponsorships for participation in workshops, seminars, conferences and congresses;
- actions concerned only or mainly with individual scholarships for studies;
- Incentives for health workers.

### Types of activity

Only activities that fall under the priority sector described in 1.2 of these guidelines are eligible for funding under this Call for Proposal. Proposals outside these priority sectors will not be considered for funding.

The list below includes the activities that will be financed under this call, they are mandatory and it could be expanded according to the applicants' proposals and availability of budget:

<b>The four health service building blocks</b>					
	<b>Activities</b>				
<b><i>Leadership and governance</i></b>	Rehabilitation and refurbishment of LHA already in South Darfur and already identified (please see Annex N)		Developing a systematic agenda of the meetings among AICS staff, SMoH, NHIF, NMSF, PHC and other partners (local and international) in order to reinforce the mechanism of coordination and good governance		
<b><i>Human resources</i></b>	New constructions and refurbishment of the following buildings: 1 AHS 2 CPD premises in Nyala (please see Annex N)		Plan of health facilities staff trainings and follow ups on data collection, drugs management, NHIF management requirements in collaboration with CPD.		
<b><i>Medical products and vaccines</i></b>	Rehabilitation and refurbishing of the drug store located at the Teaching hospital of Nyala (estimated cost of rehabilitation equal to 68.000 EUR including solar panel)	Supporting the MSF at central level in terms of furniture as solar panel, adequate storing spaces, etc.	Supporting MSF to plan and organize training for the front-line staff (drugs management, pharmacies Organization, rational use of drugs)	Supporting MSF to plan and implement supervision activities at State level	Organizing stocks for free drugs/emergency drugs/economic drugs
<b><i>Universal coverage</i></b>	Provide technical support to find some viable financial strategies to achieve the universal coverage and expanding the NHIF registration process to vulnerable beneficiaries		Supporting NHIF in ensuring continuity of quality care during the NHIF health units phasing out to MoH: supervision and quality insurance modalities		

Proposed activities that include the following elements will receive higher scores during the evaluation process for this funding opportunity:

- a participatory approach to addressing identified needs based on innovation and intersectionality
- a high potential for a lasting impact and/or multiplier effects

- Health System Governance at locality level including monitoring and follow ups of the interventions

#### Financial support to third parties<sup>4</sup>

Applicants may not propose financial support to third parties.

#### Visibility

The applicants must take all necessary steps to publicise the fact that the European Union has financed or co-financed the action. As far as possible, actions that are wholly or partially funded by the European Union must incorporate information and communication activities designed to raise the awareness of specific or general audiences of the reasons for the action and the EU support for the action in the country or region concerned, as well as the results and the impact of this support.

Applicants must comply with the objectives and priorities and guarantee the visibility of the EU financing (see the Requirements for Visibility Communication for EU external actions specified and published by the European Commission at [https://ec.europa.eu/international-partnerships/system/files/communication-visibility-requirements-2018\\_en.pdf](https://ec.europa.eu/international-partnerships/system/files/communication-visibility-requirements-2018_en.pdf))

#### Number of applications and grants per applicants / affiliated entities

The lead applicant may not submit more than 1 application(s) under this call for proposals.

The lead applicant may not be awarded more than 1 grant(s) under this call for proposals.

#### **2.2.5 Eligibility of costs: costs that can be included**

European Union contributions under this call for proposals take the following form(s):

- Financing not linked to costs of the relevant operations based on<sup>5</sup>:

the achievement of results measured by reference to the previously set milestones or through performance indicators;

- Reimbursement of eligible costs that may be based on any or a combination of the following forms:

(i) actual costs incurred by the beneficiary(ies) and affiliated entity(ies);

(ii) one or more simplified cost options (see below).

Only 'eligible costs' can be covered by a grant. The categories of costs that are eligible and non-eligible are indicated below. The budget is both a cost estimate and an overall ceiling for 'eligible costs'.

Simplified cost options (SCOs) may take the form of:

- **unit costs:** covering all or certain specific categories of eligible costs which are clearly identified in advance by reference to an amount per unit.

---

<sup>4</sup> These third parties are neither affiliated entity(ies) nor associates nor contractors.

<sup>5</sup> Such performance-based financing is not subject to other sub-articles of Article 14 of the General Conditions to the grant contract. The relevant results and the means to measure their achievement shall be clearly described in Annex I to the contract.

The amount to be paid per achieved result shall be set out in Annex III. The method to determine the amount to be paid per achieved result shall be clearly described in Annex I, take into account the principle of sound financial management and avoid double-financing of costs. The beneficiary shall not be obliged to report on costs linked to the achievement of results. However, it shall submit any necessary supporting documents, including where relevant accounting documents, to prove that the results triggering the payment as defined in Annex I and III have been achieved.

Articles 15(1) (schedule of payment), 15(7) (expenditure verification), 17(3) (no-profit) of the General Conditions do not apply to the part of the action supported by way of result-based financing.

- **lump sums:** covering in global terms all or certain specific categories of eligible costs which are clearly identified in advance.
- **flat-rate financing:** covering specific categories of eligible costs which are clearly identified in advance by applying a percentage fixed ex ante. This form is not applicable to output or result based SCOs.

Simplified costs options are divided in two categories:

1/ "output or result based SCOs": this category includes costs linked to outputs, results, activities, deliverables in the framework of a specific project (for example the determination of a lump sum for the organization of a conference, or for the realisation of a determined output/activity). Where possible and appropriate, lump sums and unit costs shall be determined in such a way to allow their payment upon achievement of concrete outputs and/or results. This type of SCO can be proposed by the beneficiary (no threshold is applicable) at proposal's stage (Grant application form – Full application). In case the evaluation committee and the contracting authority are not satisfied with the quality of the justification provided, reimbursement on the basis of actually incurred costs is always possible.

2/ "other SCOs": This second category entails simplified cost options embedded in the cost accounting practices of the beneficiary, if they are accepted by national authorities under comparable funding schemes. In this case, the grant beneficiary shall demonstrate that the national authority accepted the cost accounting practices and will have to specify in which context this acceptance was given. The evaluation committee will assess if the funding scheme is comparable. To obtain reimbursement of this category of SCOs, the beneficiary shall make reference to the comparable funding schemes of national authorities in the budget justification sheet (annex B). In case the evaluation committee and the contracting authority are not satisfied with the quality of the justification provided reimbursement on the basis of actually incurred costs is always possible.

The amounts or rates have to be based on estimates using objective data such as statistical data or any other objective means or with reference to certified or auditable historical data of the applicants or the affiliated entity(ies). Determining SCO is possible also through 'expert judgement' provided by internally available experts or procured in accordance with the applicable rules. Experts must be either commissioned auditors or chartered accountants, or staff of the contracting authority but cannot be staff of the beneficiary. The methods used to determine the amounts or rates of unit costs, lump sums or flat-rates must comply with the criteria established in Annex K, and especially ensure that the costs correspond fairly to the actual costs incurred by the beneficiary(ies) and affiliated entity(ies), are in line with their cost accounting practices, no profit is made and the costs are not already covered by other sources of funding (no double funding). Please refer to Annex K for the details of the procedure to be followed depending on the type and amount of the costs to be declared as SCO.

Applicants proposing this form of reimbursement, must clearly indicate in worksheet no.1 of Annex B, each heading/item of eligible costs concerned by this type of financing, i.e. add the reference in capital letters to 'UNIT COST', 'LUMPSUM', 'FLAT RATE' in the Unit column (see example in Annex K).

Additionally in Annex B, in the second column of worksheet no.2, 'Justification of the estimated costs' per each of the corresponding budget item or heading applicants must:

- describe the information and methods used to establish the amounts of unit costs, lump sums and/or flat-rates, to which costs they refer, etc. for output or result based SCO;
- clearly explain the formulas for calculation of the final eligible amount for output or result based SCO;
- make reference to the national authorities comparable funding schemes for other SCOs.

Where SCOs are proposed the evaluation committee and the contracting authority decide whether to accept the proposed amounts or rates on the basis of the provisional budget submitted by the applicants, by analysing factual data of grants carried out by the applicants or of similar actions. In case the evaluation committee and the contracting authority are not satisfied with the quality of the justification provided reimbursement on the basis of actually incurred costs is always possible.

No threshold is set ex-ante for the total amount of financing that can be authorised by the contracting authority on the basis of simplified cost options. Other SCOs can be proposed only if previously accepted by national authorities in comparable funding schemes.

Recommendations to award a grant are always subject to the condition that the checks preceding the signing of the grant contract do not reveal problems requiring changes to the budget (such as arithmetical errors, inaccuracies, unrealistic costs and ineligible costs). The checks may give rise to requests for clarification and may lead the contracting authority to impose modifications or reductions to address such mistakes or inaccuracies. It is not possible to increase the grant or the percentage of EU co-financing as a result of these corrections.

It is therefore in the applicants' interest to provide a **realistic and cost-effective budget**.

The grant may take the form of a single lump-sum covering the entire eligible costs of an action or a work programme.

Single lump sums may be determined on the basis of the estimated budget, which should comply with the principles of economy, efficiency and effectiveness. Compliance with these principles shall be verified ex ante at the time of evaluation of the grant application.

When authorising single lump sums the authorising officer responsible shall comply with the conditions applicable to output or result based SCOs.

When using this form of financing, the description of the action shall include detailed information on the essential conditions triggering the payment, including, where applicable, the achievement of outputs and/or results.

#### Eligible direct costs

To be eligible under this call for proposals, costs must comply with the provisions of Article 14 of the general conditions to the standard grant contract (see Annex G of the guidelines).

The applicants (and where applicable their affiliated entities) agree that the expenditure verification(s) referred to in Article 15.7 of the general conditions to the standard grant contract (see Annex G of the guidelines) will be carried out by [the contracting authority or any external body authorised by AICS - (Italian Agency for Development Cooperation) Street 33 Amarat- P.O Box 793 Khartoum, Sudan, +249 (0) 183 483 466.

#### Contingency reserve

N/A

#### Eligible indirect costs

The indirect costs incurred in carrying out the action may be eligible for flat rate funding, but the **total must not exceed 7 % of the estimated total eligible direct costs**. Indirect costs are eligible provided that they do not include costs assigned to another budget heading in the standard grant contract. The lead applicant may be asked to justify the percentage requested before the grant contract is signed. However, once the flat rate has been fixed in the special conditions of the grant contract, no supporting documents need to be provided.

If any of the applicants or affiliated entity(ies) is in receipt of an operating grant financed by the EU, it may not claim indirect costs on its incurred costs within the proposed budget for the action.

#### Contributions in kind

Contributions in kind mean the provision of goods or services to beneficiaries or affiliated entities free of charge by a third party. As contributions in kind do not involve any expenditure for beneficiaries or affiliated

entities, they are not eligible costs (except for personnel costs for the work carried out by volunteers under an action or an operating grant if so authorised).

Contributions in kind may not be treated as co-financing.

#### Ineligible costs

The following costs are not eligible:

- debts and debt service charges (interest);
- provisions for losses or potential future liabilities;
- costs declared by the beneficiary(ies) and financed by another action or work programme receiving a European Union (including through EDF) grant;
- purchases of land or buildings, except where necessary for the direct implementation of the action, in which case ownership must be transferred in accordance with Article 7.5 of the general conditions of the standard grant contract, at the latest at the end of the action;
- currency exchange losses;
- credit to third parties;
- incentive to employees of the public Sudanese health sector;
- salary costs of the personnel of national administrations.

### **2.2.6 Ethics clauses and Code of Conduct**

#### a) Absence of conflict of interest

The applicant must not be affected by any conflict of interest and must have no equivalent relation in that respect with other applicants or parties involved in the actions. Any attempt by an applicant to obtain confidential information, enter into unlawful agreements with competitors or influence the evaluation committee or the contracting authority during the process of examining, clarifying, evaluating and comparing applications will lead to the rejection of its application and may result in administrative penalties according to the Financial Regulation in force.

#### b) Respect for human rights as well as environmental legislation and core labour standards

The applicant and its staff must comply with human rights. In particular, and in accordance with the applicable act, applicants who have been awarded contracts must comply with the environmental legislation including multilateral environmental agreements, and with the core labour standards as applicable and as defined in the relevant International Labour Organisation conventions (such as the conventions on freedom of association and collective bargaining; elimination of forced and compulsory labour; abolition of child labour).

#### **Zero tolerance for sexual exploitation, abuse and harassment**

The European Commission applies a policy of 'zero tolerance' in relation to all wrongful conduct which has an impact on the professional credibility of the applicant.

Physical abuse or punishment, or threats of physical abuse, sexual abuse or exploitation, harassment and verbal abuse, as well as other forms of intimidation shall be prohibited.

Applicants (and affiliated entities) other than (i) natural persons, (ii) pillar-assessed entities and (iii) governments and other public bodies, whose application has been provisionally selected or placed in a reserve list shall assess their internal policy against sexual exploitation, abuse and harassment (SEA-H) through a self-evaluation questionnaire (Annex L). For grants of EUR 60 000 or less no self-evaluation is

required. Such self-evaluation questionnaire is not part of the evaluation of the full application by the contracting authority, but is an administrative requirement. See Section 2.5.6 of the PRAG.

c) Anti-corruption and anti-bribery

The applicant shall comply with all applicable laws, regulations and codes relating to anti-bribery and anti-corruption. The contracting authority reserves the right to suspend or cancel project financing if corrupt practices of any kind are discovered at any stage of the award process or during the execution of a contract and if the contracting authority fails to take all appropriate measures to remedy the situation. For the purposes of this provision, 'corrupt practices' are the offer of a bribe, gift, gratuity or commission to any person as an inducement or reward for performing or refraining from any act relating to the award of a contract or execution of a contract already concluded with the contracting authority.

d) Unusual commercial expenses

Applications will be rejected or contracts terminated if it emerges that the award or execution of a contract has given rise to unusual commercial expenses. Such unusual commercial expenses are commissions not mentioned in the main contract or not stemming from a properly concluded contract referring to the main contract, commissions not paid in return for any actual and legitimate service, commissions remitted to a tax haven, commissions paid to a payee who is not clearly identified or commissions paid to a company which has every appearance of being a front company.

Grant beneficiaries found to have paid unusual commercial expenses on projects funded by the European Union are liable, depending on the seriousness of the facts observed, to have their contracts terminated or to be permanently excluded from receiving EU/EDF funds.

e) Breach of obligations, irregularities or fraud

The contracting authority reserves the right to suspend or cancel the procedure, where the award procedure proves to have been subject to breach of obligations, irregularities or fraud. If breach of obligations, irregularities or fraud are discovered after the award of the contract, the contracting authority may refrain from concluding the contract.

## **2.3 HOW TO APPLY AND THE PROCEDURES TO FOLLOW**

### **open call for proposals**

#### **2.3.1 Application forms**

Applications must be submitted in accordance with the instructions on the concept note and the full applications in the grant application form annexed to these guidelines (Annex A). Lead applicants should then keep strictly to the format of the grant application form and fill in the paragraphs and pages in order.

Applicants must apply in English.

Please complete the full application form carefully and as clearly as possible so that it can be assessed properly.

Any error or major discrepancy related to the points listed in the instructions on the concept note or any major inconsistency in the application (e.g., if the amounts in the budget worksheets are inconsistent) may lead to the rejection of the application.

Clarifications will only be requested when the information provided is unclear and thus prevents the contracting authority from conducting an objective assessment.

Please note that only the grant application form and the published annexes which have to be filled in (budget, logical framework) will be evaluated. It is therefore of utmost importance that these documents contain ALL the relevant information concerning the action.

**With the application the lead applicant also has to submit completed off line and updated PADOR registration form (Annex F) for the lead applicant, each co-applicants (if any) and each affiliated entities (if any).**

Please note that the following documents should be submitted together with the PADOR registration form and the application form:

1. The statutes or articles of association of the lead applicant, (if any) of each co-applicant and (if any) of each affiliated entity. Where the contracting authority has recognised the lead applicant's, or the co-applicant(s)'s, or their affiliated entity(ies)'s eligibility for another call for proposals under the same budget line within 2 years before the deadline for receipt of applications, it should be submitted, instead of the statutes or articles of association, a copy of the document proving their eligibility in a former call (e.g. a copy of the special conditions of a grant contract received during the reference period), unless a change in legal status has occurred in the meantime. This obligation does not apply to international organisations which have signed a framework agreement with the European Commission.
2. Legal entity form (see Annex D of these guidelines) duly completed and signed by each of the applicants (i.e., by the lead applicant and by each co-applicant, if any), accompanied by the justifying documents requested there. If the applicants have already signed a contract with the contracting authority, instead of the legal entity sheet and supporting documents, the legal entity number may be provided, unless a change in legal status occurred in the meantime.
3. A financial identification form of the lead applicant (not from co-applicant(s)) conforming to the model attached as Annex E of these guidelines, certified by the bank to which the payments will be made. This bank should be located in the country where the lead applicant is established. If the lead applicant has already submitted a financial identification form in the past for a contract where the European Commission was in charge of the payments and intends to use the same bank account, a copy of the previous financial identification form may be provided instead.
4. Declaration of Honour (Annex H);
5. PADOR off-line form (Annex F), for the lead applicant;
6. Certificate of registration in the country of the programme (Sudan) and authorizations of duly authorized representatives for lead and co-applicant(s).

In addition, for the purpose of the evaluation of the financial capacity, the following documents should be submitted<sup>6</sup>:

The applicant shall provide a self-declaration signed by its authorised representative certifying the validity of its accounts for up to the last three available financial years. Such self-declaration shall be dated and signed, either by hand or by applying a qualified electronic signature (QES)<sup>7</sup> by an authorised representative of the signatory.

This requirement shall apply only to the first application made by a beneficiary to the same contracting authority in any one financial year.

The external audit report is not required from the co-applicant(s)) or affiliated entities (if any).

1. For action grants not exceeding EUR 750 000 and for operating grants below EUR 100 000 a copy of the lead applicant's profit and loss account and the balance sheet for up to the three last financial years for which the

---

<sup>6</sup> No supporting documents will be requested for applications for a grant not exceeding EUR 60 000.

<sup>7</sup> Please note that only the QES within the meaning of Regulation (EU) No 910/2014 (eIDAS Regulation) will be accepted. Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC.



accounts were closed<sup>8</sup>. A copy of the latest account is neither required from the co-applicant(s) (if any) nor from affiliated entity(ies) (if any).

Documents must be supplied in the form of originals, photocopies or scanned versions (i.e., showing legible stamps, signatures and dates) of the said originals.

Where such documents are not in one of the official languages of the European Union, a translation into English of the relevant parts of these documents proving the lead applicant's and, where applicable, co-applicants' and affiliated entity(ies)' eligibility, must be attached for the purpose of analysing the application.

Where these documents are in an official language of the European Union other than English, it is strongly recommended, in order to facilitate the evaluation, to provide a translation of the relevant parts of the documents, proving the lead applicant's and, where applicable, co-applicants' and affiliated entity(ies)' eligibility, into English.

If the abovementioned supporting documents are not provided by the deadline for the submission of the application form, the application may be rejected.

**No additional annexes should be sent.**

### **2.3.2 Where and how to send applications**

Applications must be submitted in one original and one copy in A4 size, each bound. The complete application form (Part A: concept note and Part B: full application form), PADOR registration form, budget and logical framework must also be supplied in electronic format (USB<sup>9</sup>) in a separate and single file (i.e., the application must not be split into several different files). The electronic file must contain **exactly the same** application as the paper version enclosed. Hand-written applications will not be accepted.

The declaration by the lead applicant (Section 8 of Part B of the grant application form) must be stapled separately and enclosed in the envelope.

Where a lead applicant sends several different applications (if allowed to do so by the guidelines of the call), each one has to be sent separately.

The outer envelope must bear the **reference number and the title of the call for proposal**, together with the title of the applicant action (if different from the one of the call for proposal), the full name and address of the lead applicant, and the words 'Not to be opened before the opening session' /

Applications must be submitted in a sealed envelope by registered mail, private courier service or by hand-delivery (a signed and dated certificate of receipt will be given to the deliverer) at the address below:

Postal address

*Procurement office*

***AICS - (Italian Agency for Development Cooperation)***

*Street 33 Amarat- P.O Box 793 Khartoum, Sudan*

Address for hand delivery

*Procurement office*

***AICS - (Italian Agency for Development Cooperation)***

---

<sup>8</sup> This obligation does not apply to natural persons who have received education support or who are in most need or in receipt of direct support, nor to public bodies and to international organisations. It does not apply either when the accounts are in practice the same documents as the external audit report already provided pursuant to Section 2.2.1, point 1.

<sup>9</sup> If you want to allow for other devices (e.g. USB sticks) make sure that appropriate IT security measures are in place.

Open from 8:30am to 5:30pm

Applications sent by any other means (e.g. by fax or by e-mail) or delivered to other addresses will be rejected.

**Please note that incomplete applications may be rejected. Lead applicants are advised to verify that their application is complete using the checklist (Section 7 of Part B of the grant application form).**

### 2.3.3 Deadline for submission of applications

The applicants' attention is drawn to the fact that there are two different systems for sending applications/full proposals: one is by post or private courier service, the other is by hand delivery.

In the first case, the application/full proposal must be sent before the date for submission, as evidenced by the postmark or deposit slip, but in the second case it is the acknowledgment of receipt given at the time of the delivery of the application/full proposal which will serve as proof.

The **deadline for the submission of applications is November 12<sup>th</sup> 2022** as evidenced by the date of dispatch, the postmark or the date of the deposit slip. In the case of hand-deliveries, the **deadline for receipt is at 12.11.2022 before 17:00 pm Khartoum local time**, as evidenced by the signed and dated receipt. Any application submitted after the deadline will automatically be rejected.

The contracting authority may, for reasons of administrative efficiency, reject any application submitted on time to the postal service but received, for any reason beyond the contracting authority's control, after the effective date of approval of the first evaluation step (i.e. concept note), if accepting applications that were submitted on time but arrived late would considerably delay the award procedure or jeopardise decisions already taken and notified. (see indicative calendar under Section 2.5.2).

Any application submitted after the deadline will be rejected.

### Number of applications and grants per applicants / affiliated entities

The lead applicant may not submit more than 1 application(s) under this call for proposals.

The lead applicant may not be awarded more than 1 grant(s) under this call for proposals.

### 2.3.4 Further information about applications

Questions may be sent by e-mail no later than 15 days before the deadline for the submission of applications to the below address(es), indicating clearly the reference of the call for proposals:

E-mail address: [procurement.sudan@aics.gov.it](mailto:procurement.sudan@aics.gov.it)

The contracting authority has no obligation to provide clarifications to questions received after this date.

Replies will be given no later than 08 days before the deadline for the submission of applications.

To ensure equal treatment of applicants, the contracting authority cannot give a prior opinion on the eligibility of lead applicants, co-applicants, affiliated entity(ies), an action or specific activities.

Questions that may be relevant to other applicants, together with the answers, will be published on the website where the call was published: website of the contracting authority [calls for proposals for development cooperation initiatives | AICS - Khartoum](#), as the need arises. It is therefore advisable to consult the above-mentioned website regularly in order to be informed of the questions and answers published.

Please note that the contracting authority may decide to cancel the call for proposals procedure at any stage according to the conditions set out in Section 6.5.9 of the PRAG.

## 2.4 EVALUATION AND SELECTION OF APPLICATIONS

Applications will be examined and evaluated by the contracting authority with the possible assistance of external assessors. All applications will be assessed according to the following steps and criteria.

If the examination of the application reveals that the proposed action does not meet the eligibility criteria stated in Section 2.1, the application will be rejected on this sole basis.

### STEP 1: OPENING & ADMINISTRATIVE CHECKS AND CONCEPT NOTE EVALUATION

During the opening and administrative check, the following will be assessed:

- If the deadline has been met. Otherwise, the application will be automatically rejected.
- If the application satisfies all the criteria specified in the checklist in Section 7 of Part B of the grant application form. This includes also an assessment of the eligibility of the action. If any of the requested information is missing or is incorrect, the application may be rejected on that sole basis and the application will not be evaluated further.

The concept notes that pass this check will be evaluated on the relevance and design of the proposed action.

The concept notes will receive an overall score out of 50 using the breakdown in the evaluation grid below. The evaluation will also check on compliance with the instructions on how to complete the concept note, which can be found in Part A of the grant application form.

The evaluation criteria are divided into headings and subheadings. Each subheading will be given a score between 1 and 5 as follows: 1 = very poor; 2 = poor; 3 = adequate; 4 = good; 5 = very good.

	Score	
1. Relevance of the action	Sub-score	20
1.1 How relevant is the proposal to the objectives and priorities of the call for proposals and to the specific themes/sectors/areas or any other specific requirement stated in the guidelines for applicants? Are the expected results of the action aligned with the priorities defined in the guidelines for applicants (Section 1.2)?	5	
1.2 How relevant is the proposal to the particular needs and constraints of the target country(ies), region(s) and/or relevant sectors (including synergy with other development initiatives and avoidance of duplication)?	5	
1.3 How clearly defined and strategically chosen are those involved (final beneficiaries, target groups)? Have their needs (as rights holders and/or duty bearers) and constraints been clearly defined and does the proposal address them appropriately?	5	
1.4 Does the proposal contain particular added-value elements (e.g. innovation, intersectionality, best practices)? and the other additional elements indicated under 1.2. of the guidelines for applicants	5	

<b>2. Design of the action</b>	<b>Sub-score</b>	<b>30</b>
2.1 How coherent is the overall design of the action? Does the proposal indicate the expected results to be achieved by the action? Does the intervention logic explain the rationale to achieve the expected results? Are the 5x2** December 2021 Page 22 of 32 e3a_guidelines_en.doc activities proposed appropriate, practical, and consistent with the envisaged outputs and outcome(s)?	5x2**	
2.2 Does the design reflect a robust analysis of the problems involved, and the capacities of the relevant stakeholders?	5	
2.3 Does the design take into account external factors (risks and assumptions)? (Including the potential effects of the current situation and unrest in the country on the socioeconomic conditions of the target population).	5	
2.4 Does the proposal/Logical Framework include:  - feasible and consistent activities in relation to the expected results (including timeframe)? Are results (output, outcome and impact) realistic?  - credible baseline, targets and sources of verification? If not, is a baseline study foreseen (and is the study budgeted appropriately in the proposal)?	5	
2.5 To which extent does the proposal integrate relevant cross-cutting elements such as promotion of gender equality and equal opportunities, needs of people with disabilities, rights of groups at risk of vulnerability and marginalization, people in displacement (IDPs and Refugees), Covid-19, youth, men and boys engagement.	5	
<b>TOTAL SCORE</b>		<b>50</b>

\*\*this score is multiplied by 2 because of its importance

Once all concept notes have been assessed, a list will be drawn up with the proposed actions ranked according to their total score.

Firstly, only the concept notes with a score of at least 30 will be considered for pre-selection.

Secondly, the number of concept notes will be reduced, taking account of the ranking, to the number of concept notes whose total aggregate amount of requested contributions is equal to at least 200% of the available budget for this call for proposals. The amount of requested contributions of each concept note will be based on the indicative financial envelopes for each lot, where relevant.

After the evaluation of concept notes, the contracting authority will send letters to all lead applicants, indicating whether their application was submitted by the deadline, informing them of the reference number they have

been allocated, whether the concept note was evaluated and the results of that evaluation. The evaluation committee will then proceed with the lead applicants whose proposals have been pre-selected.

## STEP 2: EVALUATION OF THE FULL APPLICATION

If the applications pass the opening and administrative checks along the instructions of the Step 1: they will be further evaluated on their quality, including the proposed budget and capacity of the applicants and affiliated entity(ies). They will be evaluated using the evaluation criteria in the evaluation grid below. There are two types of evaluation criteria: selection and award criteria.

**The selection criteria** help to evaluate the applicant(s)'s and affiliated entity(ies)'s operational capacity and the lead applicant's financial capacity and are used to verify that they:

- have stable and sufficient sources of finance to maintain their activity throughout the proposed action and, where appropriate, to participate in its funding (this only applies to lead applicants);
- have the management capacity, professional competencies and qualifications required to successfully complete the proposed action. This applies to applicants and any affiliated entity(ies).

**The award criteria** help to evaluate the quality of the applications in relation to the objectives and priorities set forth in the guidelines, and to award grants to projects which maximise the overall effectiveness of the call for proposal. They help to select the application which the contracting authority can be confident will comply with its objectives and priorities. They cover the relevance of the action, its consistency with the objectives of the call for proposals, quality, expected impact, sustainability and cost-effectiveness.

### Scoring:

The evaluation grid is divided into Sections and subsections. Each subsection will be given a score between 1 and 5 as follows: 1 = very poor; 2 = poor; 3 = adequate; 4 = good; 5 = very good.

### Evaluation grid

Section	Maximum Score
<b>1. Financial and operational capacity</b>	<b>25</b>
1.1 Do the applicants and, if applicable, their affiliated entity(ies) have sufficient in-house experience of project management? (Including experience in managing EU-funded projects)	10
1.2 Do the applicants and, if applicable, their affiliated entity(ies) have sufficient in-house technical expertise? especially knowledge of the issues to be addressed (including knowledge of the context resulting from at least 3 years of experience in Sudan and in the States for the lead applicant)	6
1.3 Do the applicants and, if applicable, their affiliated entity(ies) have sufficient in-house management capacity? (Including staff, equipment and ability to handle the budget for the action)?	4
1.4 Does the lead applicant have stable and sufficient sources of finance?	5

<b>2. Design of the action</b>	<b>20</b>
2.1 How coherent is the design of the action? Does the proposal indicate the expected results to be achieved by the action? Does the intervention logic explain the rationale to achieve the expected results? Are the activities proposed appropriate, practical, and consistent with the envisaged outputs and outcome(s)?	10
2.2 Does the proposal/Logical Framework include credible baseline, targets and sources of verification? If not, is a baseline study foreseen (and is the study budgeted appropriately in the proposal)?	5
2.3 Does the design reflect a robust analysis of the problems involved, and the capacities of the relevant stakeholders?	5
<b>4. Implementation approach</b>	<b>20</b>
4.1 Is the action plan for implementing the action clear and feasible? Is the timeline realistic?	10
4.2 Does the proposal include an effective and efficient monitoring system? Is there an evaluation planned (previous, during or/and at the end of the implementation)?	5
4.3 Is the co-applicant(s)'s and affiliated entity(ies)'s level of involvement and participation in the action satisfactory?	5
<b>5. Sustainability of the action</b>	<b>15</b>
5.1 Is the action likely to have a tangible impact on its target groups?	5
5.2 Is the action likely to have multiplier effects, including scope for replication, extension, capitalisation on experience and knowledge sharing?	3
5.3 Are the expected results of the proposed action sustainable?  - Financially ( <i>e.g. financing of follow-up activities, sources of revenue for covering all future operating and maintenance costs</i> )  - Institutionally ( <i>will structures allow the results of the action to be sustained at the end of the action? Will there be local 'ownership' of the results of the action?</i> )  - At policy level (where applicable) ( <i>what will be the structural impact of the action — e.g. improved legislation, codes of conduct, methods</i> )	4

- Environmentally (if applicable) ( <i>will the action have a negative/positive environmental impact?</i> )	
5.4 In support of a sustainable approach, is the project beneficiaries' ownership guaranteed? (i.e. through a peer-to-peer model)	3
<b>6. Budget and cost-effectiveness of the action</b>	<b>20</b>
6.1 Are the activities appropriately reflected in the budget?	10
6.2 Is the ratio between the estimated costs and the results satisfactory?	6
6.3 Does the budget include a level of gender-responsiveness (taking in account needs and challenges of both male and female beneficiaries)?	4
<b>Maximum total score</b>	<b>100</b>

If the total score for Section 1 (financial and operational capacity) is less than 12 points, the application will be rejected. If the score for at least one of the subsections under Section 1 is 1, the application will also be rejected.

If the lead applicant applies without co-applicants or affiliated entities the score for point 4.3 shall be 5 unless the involvement of co-applicants or affiliated entities is mandatory according to these guidelines for applicants.

#### *Provisional selection*

After the evaluation, a table will be drawn up listing the applications ranked according to their score. The highest scoring applications will be provisionally selected until the available budget for this call for proposals is reached. In addition, a reserve list will be drawn up following the same criteria. This list will be used if more funds become available during the validity period of the reserve list.

### **STEP 3: VERIFICATION OF ELIGIBILITY OF THE APPLICANTS AND AFFILIATED ENTITY(IES)**

The eligibility verification will be performed on the basis of the supporting documents requested by the contracting authority (see Section 2.2.1). It will by default only be performed for the applications that have been provisionally selected according to their score and within the available budget for this call for proposals.

- The declaration by the lead applicant (Section 8 of Part B of the grant application form) will be cross-checked with the supporting documents provided by the lead applicant. Any missing supporting document or any incoherence between the declaration by the lead applicant and the supporting documents may lead to the rejection of the application on that sole basis.
- The eligibility of applicants and the affiliated entity(ies) will be verified according to the criteria set out in Section 2.1.1.

Any rejected application will be replaced by the next best placed application on the reserve list that falls within the available budget for this call for proposals.

## 2.5 SUBMISSION OF SUPPORTING DOCUMENTS

The lead applicant should submit the documents listed in Section 2.2.1

In addition, a lead applicant whose application has been provisionally selected or placed on the reserve list will be informed in writing by the contracting authority. It will be requested to supply the following documents<sup>10</sup>:

1. Declaration on honour: the lead applicant as well as all co-applicants and affiliated entities shall fill in and sign the declaration on honour certifying that they are not in one of the exclusion situations (see Section 2.6.10.1 of the PRAG) where the amount of the grant exceeds EUR 15 000. The declaration on honour shall be dated and signed, either by hand or by applying a qualified electronic signature (QES)<sup>11</sup> by an authorised representative of the signatory.
2. Self-evaluation questionnaire on SEA-H: the lead applicant as well as all co-applicants and affiliated entities shall fill in the self-evaluation questionnaire assessing the organisation's internal policy and procedure against sexual exploitation, abuse and harassment (SEA-H) (Annex L) where the amount of grant exceeds EUR 60 000 (see Section 2.5.6 of the PRAG)<sup>12</sup>.
3. Other supporting documents required: PADOR off-line form (Annex F) for the lead applicant, certificate of registration in the country of the programme (Sudan) and authorizations of duly authorized representatives for lead and co-applicant(s).

After verifying the supporting documents, the evaluation committee will make a final recommendation to the contracting authority, which will decide on the award of grants.

NB: In the eventuality that the contracting authority is not satisfied with the strength, solidity, and guarantee offered by the structural link between one of the applicants and its affiliated entity, it can require the submission of the missing documents allowing for its conversion into co-applicant. If all the missing documents for co-applicants are submitted, and provided all necessary eligibility criteria are fulfilled, the above-mentioned entity becomes a co-applicant for all purposes. The lead applicant has to submit the application form revised accordingly.

## 2.6 NOTIFICATION OF THE CONTRACTING AUTHORITY'S DECISION

### 2.6.1 CONTENT OF THE DECISION

The lead applicants will be informed in writing of the contracting authority's decision concerning their application and, if rejected, the reasons for the negative decision. Please note that the lead applicant is the intermediary for all communications between applicants and the contracting authority during the procedure.

An applicant believing that it has been harmed by an error or irregularity during the award process may lodge a complaint. See Section 2.12 of the practical guide.

Applicants and, if they are legal entities, persons who have powers of representation, decision-making or control over them, are informed that, should they be in one of the situations of early detection or exclusion, their personal details (name, given name if natural person, address, legal form and name and given name of the persons with powers of representation, decision-making or control, if legal person) may be registered in the early detection and exclusion system, and communicated to the persons and entities concerned in relation to the award or the execution of a grant contract.

---

<sup>10</sup> No supporting documents will be requested for applications for a grant not exceeding EUR 60 000.

<sup>11</sup> Please note that only the QES within the meaning of Regulation (EU) No 910/2014 (eIDAS Regulation) will be accepted. Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC.

<sup>12</sup> Applicants, co-applicants and affiliated entities who are (i) natural persons (ii) pillar-assessed entities and (iii) governments and other public bodies do not have to submit the self-evaluation questionnaire.



For more information, you may consult the privacy statement available on [http://ec.europa.eu/budget/explained/management/protecting/protect\\_en.cfm](http://ec.europa.eu/budget/explained/management/protecting/protect_en.cfm)

## 2.6.2 INDICATIVE TIMETABLE

	DATE	TIME
<b>1. Information meeting (if any)</b>	-	-
<b>2. Deadline for requesting any clarifications from the contracting authority</b>	28/10/2022	13:00 pm
<b>3. Last date on which clarifications are issued by the contracting authority</b>	04/11/2022	13:00 pm
<b>4. Deadline for submission of applications</b>	12/11/2022	17:00 pm
<b>5. Information to lead applicants on opening administrative checks</b>	-	-
<b>7. Information to lead applicants on the evaluation of the full applications (Step 2)</b>	-	-
<b>8. Notification of award (after the eligibility check) (Step 3)</b>	-	-
<b>9. Contract signature</b>	The last week of November 2022	-

All times are in the time zone of the country of the contracting authority.

This indicative timetable refers to provisional dates (except for dates 2, 3, and 4) and may be updated by the contracting authority during the procedure. In such cases, the updated timetable will be published on the web site where the call was published: website of the contracting authority [calls for proposals for development cooperation initiatives | AICS - Khartoum](#)

### 1. Conditions for implementation after the contracting authority's decision to award a grant

Following the decision to award a grant, the beneficiary(ies) will be offered a contract based on the standard grant contract (see Annex G of these guidelines). By signing the application form (Annex A of these guidelines), the applicants agree, if awarded a grant, to accept the contractual conditions of the standard grant contract. Where the coordinator is an organisation, whose pillars have been positively assessed, it will sign a

contribution agreement based on the contribution agreement template. In this case, references to provisions of the standard grant contract and its annexes shall not apply. References in these guidelines to the grant contract shall be understood as references to the relevant provisions of the contribution agreement.

### Implementation contracts

Where implementation of the action requires the beneficiary(ies) and its affiliated entity(ies) (if any) to award procurement contracts, those contracts must be awarded in accordance with Annex IV to the standard grant contract.

In this context, a distinction should be made between awarding implementation contracts and subcontracting parts of the action described in the proposal, i.e. the description of the action annexed to the grant contract, such subcontracting being subject to additional restrictions (see the general terms and conditions in the model grant contract).

Awarding implementation contracts: implementation contracts relate to the acquisition by beneficiaries of routine services and/or necessary goods and equipment as part of their project management; they do not cover any outsourcing of tasks forming part of the action that are described in the proposal, i.e. in the description of the action annexed to the grant contract.

Subcontracting: subcontracting is the implementation, by a third party with which one or more beneficiaries have concluded a procurement contract, of specific tasks forming part of the action as described in annex to the grant contract (see also the general terms and conditions in the model grant contract).

## 2. List of annexes

### DOCUMENTS TO BE COMPLETED

- Annex A: Grant application form (Word format)  
Annex B: Budget (Excel format)  
Annex C: Logical framework (Excel format)  
Annex D: Legal entity form  
Annex E: Financial identification form  
Annex F: PADOR off line registration form

### DOCUMENTS FOR INFORMATION<sup>13</sup>

- Annex G: Standard grant contract
- Annex II: General conditions
  - Annex IV: Procurement Rules for Beneficiaries
  - Annex V: Standard request for payment
  - Annex VI: Model narrative and financial report
  - Annex VII: Model report of factual findings and terms of reference for an expenditure verification of an EU financed grant contract for external action
  - Annex VIII: Model financial guarantee
  - Annex IX: Standard template for transfer of ownership of assets
- Annex H: Declaration on Honour
- Annex I: Daily allowance rates (per diem)
- Annex J: Information on the tax regime applicable to grant contracts signed under the call.
- Annex K: Guidelines for assessing simplified cost options.
- Annex L: Self-evaluation questionnaire on SEA-H
- Annex M: Explanatory note
- Annex N: BoQ, designs and other information related to works and list of furniture LHA, AHS e CPD

### Useful links:

#### Project Cycle Management Guidelines

[https://ec.europa.eu/international-partnerships/funding/managing-project\\_en](https://ec.europa.eu/international-partnerships/funding/managing-project_en)

#### The implementation of grant contracts

#### A Users' Guide

<http://ec.europa.eu/europeaid/companion/document.do?nodeNumber=19&locale=en>

#### Financial Toolkit

[https://ec.europa.eu/international-partnerships/financial-management-toolkit\\_en](https://ec.europa.eu/international-partnerships/financial-management-toolkit_en)

Please note: the toolkit is not part of the grant contract and has no legal value. It merely provides general guidance and may in some details differ from the signed grant contract. In order to ensure compliance with

---

<sup>13</sup> These documents should also be published by the contracting authority.

their contractual obligations beneficiaries should not exclusively rely on the toolkit but always consult their individual contract documents.

\* \* \*